# **ATTENTION:**

Please do not complete this form. This form is only used to give you an idea of the questions we will ask when you apply. It will help you prepare for the interview.

Form Approved OMB No. 0960-000

SOC	CIAL SECURITY ADMINISTRATION			OMB No. 0960-000
	APPLICATION FOR RETIREMENT	INSURANCE BENEFITS		(Do not write in this space)
	I apply for all insurance benefits for which Survivors, and Disability Insurance) and Aged and Disabled) of the Social Security  Supplement. If you have already con FOR WIFE'S OR HUSBANDS'S INSURANCE the circled items. All other claimants	part A of Title XVIII (Healt y Act, as presently amende npleted an application entit URANCE BENEFITS", you	h Insurance for the ed. led "APPLICATION need complete only	
<u>(1</u>	(a) PRINT your name	FIRST NAME, MIDDLE INI		
···	, (a) , (iii)		. , , , , , , , , , , , , , , , , , , ,	
	(b) Enter your name at birth if different from item (a)	FIRST NAME, MIDDLE INI	TIAL, LAST NAME	
	(c) Check (X) whether you are		Male	Female
(2.	Enter your Social Security Number	<b></b>	/	′/
3.	(a) Enter your date of birth	<del></del>	MONTH, DAY, YEAR	
	(b) Enter name of State or foreign counwhere you were born.	try		
	If you have already presented, or if you you were age 5, go on to item 4.	are now presenting, a pub	lic or religious record o	of your birth established before
	(c) Was a public record of your birth ma	ade before you were age 53	Yes	No Unknown
	(d) Was a religious record of your birth	made before you were age	5? Yes	No Unknown
4.	(a) Have you (or has someone on you application for Social Security bene under Social Security, supplemen hospital or medical insurance under	fits, a period of disability tal security income, or	Yes (If "Yes," answer (b) and (c).)	No (If "No," go on to item 5.)
	(b) Enter name of person on whose Social Security record you filed other application.	(First name, middle initial,	last name)	
	(c) Enter Social Security Number of pers (If unknown, so indicate)	son named in (b).		'/
	Do not answer 5 if you are age 66 or o	lder. Go on to question 6.		
5.	(a) Are you, or during the past 14 mont to work because of illnesses, injuries		Yes	□No
	(b) If "Yes," enter the date you became	unable to work	MONTH, DAY, YEAR	
6.	(a) Were you in the active military or Reserve or National Guard <i>active</i> training) after September 7, 1939 a	duty or active duty for	Yes (If "Yes," answer (b) and (c).)	No (If "No," go on to item 7.)
	(b) Enter dates of service.	<b></b>	From: (Month, year)	To: (Month, year)
	Have you <u>ever</u> been (or will you benefit from a military or civilian Veterans Administration benefits military retirement pay)	Federal agency? (include	Yes	□No
7.	Have you or your spouse worked in the years or more?	railroad industry for 7	Yes	No

8.	(a) Do you have social security credits (for work or residence) under another country system?		's social security \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \		answer (b).)	No	No If "No," go on to item 9.)			
	(b) If "Yes," li	st the country(ies).	<b>——</b>							
9.	Have you	ever been married? —————		Yes (If "Yes," item 10.)	answer	No	" go to item	າ 12.)		
10.	(a) Give the fo	llowing information about your o	current marriage. If n	ot currently	married, show	your last r	marriage be	elow.		
	To whom married		When (Month, day, year)		Where (Name of City and State)					
	Your	How marriage ended (If still in effect, write "Not Ended.")	When (Month, day, y	ear)	Where (Name of City and State)					
	current or last marriage	Marriage performed by:  Clergyman or public official Other (Explain in Remarks)	Spouse's date of birth	n (or age)	If spouse deceased, give date of death					
		Spouse's Social Security Number (If none or unknown, so indicate) / /								
	(b) Give the following information about each of your previous marriages. (IF NONE, WRITE "NONE")									
	To whom married	ı	When (Month, day, y	ear)	Where (Name of City and State)					
	Your previous marriage	How marriage ended	When (Month, day, y	Where (Name of City and State)						
	(Use a separate statement for information about any	Marriage performed by:  Clergyman or public official Other (Explain in Remarks)	Spouse's date of birth	If spouse deceased, give date of death						
	other marriages.)	Spouse's Social Security Number (	Number (If none or unknown, so indicate)							
11.	If you are currently married, answer this question, <b>only</b> if your spouse is within 3 months of age 62 or older; or has a child-in-care who is eligible on your earnings record.  Do you wish this application to protect your spouse's right to Social Security benefits?									
List below FULL NAME OF ALL your children (including natural children, adopted children, and stepchildred dependent grandchildren (including stepgrandchildren) who are now or were in the past 6 months UNMAF  UNDER AGE 18  AGE 18 TO 19 AND ATTENDING SECONDARY SCHOOL  DISABLED OR HANDICAPPED (age 18 or over and disability began before age 22)					en) or .RRIED and	:				
Also list any student who is between the ages of 18 to 23 if such student was both: 1. Previously entitled Security benefits on any Social Security record for August 1981, and 2. Was also in full-time attendance a post-secondary school prior to May 1982.					ed to Socia at a	al				
	(IF THERE ARE NO SUCH CHILDREN, WRITE "NONE" BELOW AND GO ON TO ITEM 13.)									
10										
13. (a) Did you have wages or self-employment income covered under Social Security in <b>all</b> years from 1978 through last year?  (If "Yes," ski				No skip to item 14.) (If "No," answer (b).)						
(b) List the years from 1978 through last year in which you did not have wages or self-employment income covered under Social Security.						>				
(14.)	Enter below the this year, last y	e names and addresses of all the ear, and the year before last. <b>II</b>	persons, companies NONE, WRITE "NO	, or governm NE" BELOW	nent agencies f AND GO ON T	or whom y O ITEM 10	6.			
	(a) NAME AND ADDRESS OF EMPLOYER (If you had more than one employ please list them in order beginning with your last (most recent) employ				Work Beg	gan Year	Work En (If still wo. Show "Not I	rkina.		
							9			
	(b) Are you an	officer of a corporation, or are	you related to an offi	cer of a	Yes		No			

(15.	.) May we ask your employers claim?	Y	es es	No		
16.	THIS ITEM MUST BE COMPL (a) Were you self-employed	Yes (If "Yes," No (If "No," skip to item 17.)				
	(b) Check the year or years in which you were self-employed	Were your net earnings from your trade or business \$400 or more?  (Check "Yes" or "No")				
	This year					
	Last year	Yes No				
	Year before last		Y	∕es No	)	
17.	· (a) How much were your to	tal earnings last year?	<b>→</b> \$			
	(b) Place an "X" in each blo	NONE		,	ALL	
	in self-employment. The exempt months, place a	Jan.	Feb.	Mar.	Apr.	
	place an "X" in "ALL".		May	Jun.	Jul.	Aug.
	*Enter the appropriate m Earnings Affect Your Bei	nonthly limit after reading the instructions, "How Your nefits".	Sept.	Oct.	Nov.	Dec.
18.	(a) How much do you expec	t your total earnings to be this year?	<b>+</b> \$			
	(b) Place an "X" in each bloor will not earn more that	NONE		ALL		
	perform substantial servi	ces in self-employment. These months are exempt re or will be exempt months, place an "X" in "NONE".	Jan.	Feb.	Mar.	Apr.
	If all months are or will b	be exempt months, place an "X" in "ALL".	May	Jun.	Jul.	Aug.
	*Enter the appropriate m Earnings Affect Your Ber	nonthly limit after reading the instructions, "How Your nefits".	Sept.	Oct.	Nov.	Dec.
19.	Answer this item ONLY if yo taxable year is a calendar year	u are now in the last 4 months of your taxable year (Sept ar).	i., Oct., N	lov., and I	Dec., if yo	our
	(a) How much do you expec		<b>→</b> \$			
	(b) Place an "X" in each bloexpect to earn more than	NONE		ALL		
	substantial services in se	Jan.	Feb.	Mar.	Apr.	
	·	months are expected to be exempt months, place an "X" in "ALL".				Aug.
		*Enter the appropriate monthly limit after reading the instructions, "How Your Earnings Affect Your Benefits".				
	applicable) as the report of emy responsibility to ensure t	use the earnings reported to SSA by my employer(s), a earnings required by law and adjust benefits under the ea hat the information I give SSA concerning my earnings is a as needed when my benefit adjustment is not correct be	rnings tes s correct.	st. I also i I also uno	ınderstan lerstand t	d that it is hat I musi
20.	If you use a fiscal year, that here the month your fiscal ye	is, a taxable year that does not end December 31 (with inear ends. ————————————————————————————————————	ncome tax	k return du	ıe April 1	5), enter
IF Y	OU ARE AGE 65 AND 6 MON	ITHS, OR OLDER, DO NOT ANSWER ITEM 21. GO ON TO	O ITEM 2	2.		·
	ASE READ CAREFULLY THE	NFORMATION ON THE OPPOSITE PAGE AND ANSWER	ONE OF T	HE FOLL	OWING	-
21.	. (a) I want benefits beginning	with the earliest possible month that will be the most ad	vantageo	us.		
	_	e 65 within 4 months) and I want benefits beginning wit ost advantageous providing there is not permanent reduct		-	ole	<b>→</b> □
	(c) I want benefits beginning	with I understand that either a higher initial it amount may be possible, but I choose not to take it	payment	or a highe	r	→ □

If this claim is approved and you are still entitled to benefits at age 65, you will automatically have hospital insurance protection under Medicare at age 65. If you are not also eligible for automatic enrollment in the Supplementary Medical Insurance Plan, this application may be used for voluntary enrollment.

### COMPLETE THIS ITEM ONLY IF YOU ARE WITHIN 3 MONTHS OF AGE 65 OR OLDER

ENROLLMENT IN MEDICARE'S SUPPLEMENTARY MEDICAL INSURANCE PLAN: The medical insurance benefits plan pays for most of the costs of physicians' and surgeons' services, and related medical services which are not covered by the hospital insurance plan. Coverage under this SUPPLEMENTARY MEDICAL INSURANCE PLAN does not apply to most medical expenses incurred outside the United States. Your Social Security district office will be glad to explain the details of the plan and give you a leaflet which explains what services are covered and how payment is made under the plan.

Once you are enrolled in this plan, you will have to pay a monthly premium to cover part of the cost of your medical insurance protection. The Federal Government contributes an equal amount or more toward the cost of your insurance. Premiums will be deducted from any monthly Social Security, railroad retirement, or civil service benefit checks you receive. If you do not receive such benefits, you will be notified about when, where, and how to pay your premiums. If you are eligible for automatic enrollment, you will be automatically enrolled unless you indicate, by checking the "NO" block below, that you do not want to be enrolled.

	WANT TO ENROLL IN THE ME INSURANCE PLAN?	DICAF	RE SUPPLEM	ENTARY		<b></b>	Yes	No	
	stion 23 ONLY if you were bor	n Janu	ary 2, 1924	, or later. Otherwise	e, go on to	question 2	4.		
	ou entitled to, or do you expec y based on your work after 19					(If "Yes," (b) and (c).		f "No," go m 24.)	
(b) 1 l	pecame entitled, or expect to become entitled, beginning ———— MONTH				YEAR				
(c) 1 l	pecame eligible, or expect to b	e eligible, beg	ginning —	MONTH	MONTH		YEAR		
l agree to noti 1956 not cove	fy the Social Security Administred by Social Security, or if su	stration uch per	n if I become nsion or ann	e entitled to a pens uity stops.	ion or ann	uity based o	on my emplo	yment after	
	applicable: t submitting evidence of earni ded automatically within 24 m								
REMARKS (Yo	u may use this space for any o	explana	ations. If yo	u need more space,	attach a s	separate she	et.)		
				0					
use in determi	yone who makes or causes to ining a right to payment undo or both. I affirm that all inform	er the	Social Secu	rity Act commits a	crime pu				
	SIGNATURE	OF.	APPLIC	CANT		Date (Mont	th, day, year	·)	
SIGN	st Name, Middle Initial, Last Name	e) (Write	e in ink.)				umber(s) at W tacted During		
HERE V						(Area Code)	<u>-</u> )		
FOR	Direct Deposit Payment Address (Financial Institution				tution)				
OFFICIAL	Routing Transit Number	C/S	Depositor A	ccount Number	•		o Account		
USE ONLY						Direct Deposit Refused			
Applicant's Mail	ing Address (Number and street, A	Apt No.,	, P.O. Box, or	Rural Route) (Enter Re	esidence Ad	dress in "Ren	narks," if diffe	erent.)	
City and State				ZIP Code	County (if	any) in whicl	h you now live	9	
	quired ONLY if this application ha ign below, giving their full address						ses who knov	v the	
1. Signature of	Witness			2. Signature of W	/itness		*		
Address (Number	r and Street, City, State and ZIP (	Code)		Address (Number	and Street,	City, State a	nd ZIP Code)		

### CHANGES TO BE REPORTED AND HOW TO REPORT

Failure to report may result in overpayments that must be repaid, and in possible monetary penalties

- You change your mailing address for checks or residence. To avoid delay in receipt of checks you should ALSO file a regular change of address notice with your post office.
- You go outside the U.S.A. for 30 consecutive days or longer.
- Any beneficiary dies or becomes unable to handle benefits.

•	Work Changes - On your application you told us you expect total earnings for to be \$
	You $\square$ (are) $\square$ (are not) earning wages of more than \$ a month.
	You □(are) □(are not) self-employed rendering substantial services in your trade or business.

(Report AT ONCE if above work pattern changes)

- ► You are confined to jail, prison, penal institution or correctional facility for conviction of a crime or you are confined to a public institution by court order in connection with a crime.
- You become entitled to a pension or annuity based on your employment after 1956 not covered by Social Security, or if such pension or annuity stops.
- ► Your stepchild is entitled to benefits on your record and you and the stepchild's parent divorce. Stepchild benefits are not payable beginning with the month after the month the divorce becomes final.

- Custody Change Report if a person for whom you are filing or who is in your care dies, leaves your care or custody, or changes address
- Change of Marital Status Marriage, divorce, annulment of marriage.

# **HOW TO REPORT**

You can make your reports by telephone, mail, or in person, whichever you prefer.

WHEN A CHANGE OCCURS AFTER YOU RECEIVE A NOTICE OF AWARD, YOU SHOULD REPORT BY CALLING THE APPROPRIATE TELEPHONE NUMBER SHOWN NEAR THE TOP OF PAGE 6.

The law requires that a report of earnings be filed with SSA within 3 months and 15 days after the end of any taxable year in which you earn more than the annual exempt amount. contact SSA to file a report. Otherwise, SSA will use the earnings reported by your employer(s) your self-employment tax return (if applicable) as the report of earnings required by law and adjust benefits under the earnings test. It is your responsibility to ensure that the information you give concerning your earnings is correct. You must furnish additional information as needed when your benefit adjustment is not correct based on the earnings on your record.

# PLEASE READ THE FOLLOWING INFORMATION CAREFULLY BEFORE YOU ANSWER QUESTION 21.

If you are under age 65, retirement benefits cannot be payable to you for any month before the month in which you file this claim.

If you are over age 65, retirement benefits may be payable to you for some months before the month in which you file this claim (but not before the month you attain age 65).

If your first month of entitlement is prior to age 65, your benefit rate will be reduced. However, if you do not actually receive your full benefit amount for one or more months before age 65 because benefits are withheld due to your earnings, your benefit will be increased at age 65 to give credit for this withholding. Thus, your benefit amount at age 65 will be reduced only if you receive one or more full benefit payments prior to the month you are 65.

## RECEIPT FOR YOUR CLAIM FOR SOCIAL SECURITY RETIREMENT INSURANCE BENEFITS **BEFORE** YOU RECEIVE A SSA OFFICE DATE CLAIM RECEIVED NOTICE OF AWARD TELEPHONE NUMBER(S) TO CALL IF YOU HAVE A QUESTION OR SOMETHING **AFTER** YOU RECEIVE A TO REPORT NOTICE OF AWARD there is some other change that may affect your Your application for Social Security benefits has been received and will be processed as quickly as claim, you-or someone for you-should report the possible. change. The changes to be reported are listed on page 5. You should hear from us within \_\_ \_ days after you have given us all the information we requested. Always give us your claim number when writing or Some claims may take longer if additional telephoning about your claim. information is needed. If you have any questions about your claim, we will In the meantine, if you change your address, or if be glad to help you. **CLAIMANT** SOCIAL SECURITY CLAIM NUMBER

#### Collection and Use of Information From Your Application — Privacy Act Notice/Paperwork Act Notice

The Social Security Administration is authorized to collect the information on this form under sections 202(a), 205(a), and 1872 of the Social Security Act, as amended (42 U.S.C. 402(a), 405(a), and 1395(ii). While it is VOLUNTARY, except in the circumstances explained below, for you to furnish the information on this form to Social Security, no benefits may be paid unless an application has been received by a Social Security office. Your response is mandatory where the refusal to disclose certain information affecting your right to payment would reflect a fraudulent intent to secure benefits not authorized by the Social Security Act. The information on this form is needed to enable Social Security to determine if you and your dependents are entitled to insurance coverage and/or monthly benefits. Failure to provide all or part of this information could prevent an accurate and timely decision on your claim or your dependent's claim, and could result in the loss of some benefits or insurance coverage.

Although the information you furnish on this form is almost never used for any other purpose than stated in the foregoing, there is a possibility that for the administration of the Social Security programs or for the administration of programs requiring coordination with the Social Security Administration, information may be disclosed to another person or to another governmental agency as follows: 1. to enable a third party or an agency to assist Social Security in establishing rights to Social Security benefits and/or coverage; 2. to comply with Federal laws requiring the release of information from Social Security records (e.g., to General Accounting Office and the Veterans Administration); and 3. to facilitate statistical research and audit activities necessary to assure the integrity and improvement of the Social Security programs (e.g., to the Bureau of the Census and private concerns under contract to Social Security).

The information you provide may also be used without your consent in automated matching programs. These matching programs are computer comparisons of Social Security Administration records with records kept by other Federal agencies or State and local government agencies. Information from these matching programs can be used to establish or verify a person's eligibility for Federally funded or administered benefit programs and for repayment of payments or delinquent debts under these programs.

We may also use the information you give us when we match records by computer. Matching programs compare our records with those of other Federal, State, or local government agencies. Many agencies may use matching programs to find or prove that a person qualifies for benefits paid by the Federal government. The law allows us to do this even if you do not agree to it.

Explanations about these and other reasons why information you provide us may be used or given out are available in Social Security offices. If you want to learn more about this, contact any Social Security office.

### PAPERWORK REDUCTION ACT NOTICE

The Paperwork Reduction Act of 1995 requires us to notify you that this information collection is in accordance with the clearance requirements of section 3507 of the Paperwork Reduction Act of 1995. We may not conduct or sponsor, and you are not required to respond to, a collection of information unless it displays a valid OMB control number. We estimate that it will take you about 10 1/2 minutes to complete this form. This includes the time it will take to read the instructions, gather the necessary facts and fill out the form.